

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
:  
In re : Chapter 11 Case No.  
:  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)  
:  
Debtors. : (Jointly Administered)  
:  
:  
-----x Ref. Docket Nos. 41010, 41011,  
41014

AFFIDAVIT OF SERVICE

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 12, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
19<sup>th</sup> day of November, 2013

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP FINANCIAL PRODUCTS INC.  
TRANSFEROR: OZ SPECIAL MASTER FUND, LTD.  
ATTN: SCOTT R. EVAN  
1615 BRETT ROAD, BLDG. 3  
NEW CASTLE DE 19720

Please note that your claim # 4871-03 in the above referenced case and in the amount of  
\$10,974,578.84 allowed at \$10,000,000.00 has been transferred (unless previously expunged by court order)

HBK MASTER FUND L.P.  
TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC.  
HBK SERVICES LLC - INVESTMENT ADVISOR  
2101 CEDAR SPRINGS ROAD, SUITE 700  
DALLAS TX 75201

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 41011 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/12/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 12, 2013.

**EXHIBIT B**

TIME: 17:44:06  
DATE: 11/12/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. ATTN: SCOTT EVAN 1615 BRETT ROAD, BLDG. 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. ATTN: SCOTT R. EVAN 1615 BRETT ROAD, BLDG. 3 NEW CASTLE DE 19720
CREDIT SUISSE AG	TRANSFEROR: UBS AG CRAVATH, SWAINE & MOORE LLP ATTN: MS. STEPHANIE TUMBIOLO 825 8TH AVENUE NEW YORK NY 10019
FULD, RICHARD S., JR.	C/O PATRICIA M. HYNES ALLEN & OVERY LLP 1221 AVENUE OF THE AMERICAS NEW YORK NY 10020
HBK MASTER FUND L.P.	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. HBK SERVICES LLC - INVESTMENT ADVISOR 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201
TANNOR PARTNERS CREDIT FUND, LP	TRANSFEROR: FULD, RICHARD S., JR. ATTN: ROBERT TANNOR 150 GRAND STREET, SUITE 401 WHITE PLAINS NY 10601
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, 009C/05GC P.O. BOX ZURICH 8098 SWITZERLAND

Total Number of Records Printed

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